IFW/1636

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ATTORNEY DOCKET NO. 40072-0026US

In re patent application of:

Confirmation No. 3468

Takeshi TABIRA et al.

Applic. No.: 10/560,280

Art Unit: Unassigned

Filed: December 12, 2005

Examiner: Unassigned

For:

RECOMBINANT ADENO-ASSOCIATED VIRUS VECTOR FOR TREATMENT OF

ALZHEIMER DISEASE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 and 37 CFR §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08A is a listing of documents known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. §1.56 and §1.97. A copy of each of the listed documents are being submitted to comply with the provisions of 37 C.F.R. §1.97-1.99.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima* facie prior art reference against the claims of the present application.

RELEVANCE

The foregoing document came to the Applicants' attention during a search of the corresponding European patent application. A copy of the Supplementary European Search Report is attached.

STATEMENT

The undersigned hereby states in accordance with 37 C.F.R. §1.97(e)(1) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three 3) months prior to the filing of this Information Disclosure Statement.

TIMING/FEE

The instant Information Disclosure Statement is being filed in compliance with 37 CFR §1.97(b) prior to the mailing date of the first official action, therefore, no fee is required in connection with its filing. However, the Commissioner is hereby authorized to charge any deficiency or to credit any overpayment to Deposit Account No. 08-1641.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with M.P.E.P. §609.

Respectfully submitted,

Date: March 19, 2007

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002, OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of Sheet

Complete if Known			
Application Number	10/560,280		
Filing Date	December 12, 2005		
First Named Inventor	Takeshi TABIRA et al.		
Group Art Unit	Unassigned		
Examiner Name	Unassigned		
Attorney Docket Number	40072-0026US		

U.S. PATENT DOCUMENTS						
	T	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant	
Examiner Cite No.1		Number - Kind Code ² (if known)	MM-DD-YYYY	or oned boddman	Passages or Relevant Figures Appear	
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FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or Applicant	Pages, Columns, Lines, Where Relevant	
Initials* No.		Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Date MM-DD-YYYY	of Cited Document	Passages or Relevant Figures Appear	T ⁶
	C01	WIPO 00/72880 A2	12/7/2000	Neuralab Limited		
	C02	WIPO 00/39310 A1	7/6/2000	The University of Georgia Research Found.		
	 					
	 					

Examiner Signature	Date Considered	

¹ Applicant's unique citation designation number (optional) . ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.